



中国神华能源股份有限公司
CHINA SHENHUA ENERGY COMPANY LIMITED
(: 01088)

2011

| | |
|--|-------|
| | 13.09 |
|--|-------|

2011 9 30 9

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1.1

1.2

9

9

1.3

3.3

1.4 2011 2 25 2011

10
2011

2011

1.5

1.6

2

| | | | | | | |
|-------|-------|---|-------|-------|-------|--------|
| 2011 | 1 | 9 | | 209.9 | 2010 | 180.4 |
| | | | 16.4% | 286.4 | 2010 | |
| 226.1 | | | 26.7% | | 158.5 | 2010 |
| | 124.4 | | 27.4% | | | 121.0 |
| 2010 | | | 111.4 | | 8.6% | 125.66 |
| | 2010 | | 99.27 | | 26.6% | |

35,561 113,240 153,631 2010
 2010 29,886 19.0%
 1.788 2010 1.503
 19.0%

3

3.1

| | 2011 1-9 | 2010 1-9 | % |
|--|----------------------|---------------|------|
| | | | |
| | 1.788 | 1.503 | 19.0 |
| | 3.580 | 2.456 | 45.8 |
| | | | |
| | 153,631 | 113,240 | 35.7 |
| | 35,561 | 29,886 | 19.0 |
| | 71,202 | 48,842 | 45.8 |
| | 2011 9 30 | 2010 12 31 | % |
| | 398,749 | 372,131 | 7.2 |
| | 145,132 | 134,704 | 7.7 |
| | 253,617 | 237,427 | 6.8 |
| | 215,517 | 205,113 | 5.1 |
| | 10.84 | 10.31 | 5.1 |

3.2

| | 2011 | | 2010 | | % | |
|--|-------|--------|-------|--------|--------|--------|
| | 7-9 | 1-9 | 7-9 | 1-9 | 7-9 | 1-9 |
| | 69.5 | 209.9 | 61.8 | 180.4 | 12.5 | 16.4 |
| | 95.2 | 286.4 | 80.3 | 226.1 | 18.6 | 26.7 |
| | 1.2 | 4.4 | 2.6 | 8.4 | (53.8) | (47.6) |
| | 40.3 | 121.0 | 37.7 | 111.4 | 6.9 | 8.6 |
| | 55.8 | 158.5 | 43.9 | 124.4 | 27.1 | 27.4 |
| | 23.8 | 72.1 | 22.0 | 63.3 | 8.2 | 13.9 |
| | 6.8 | 18.9 | 4.9 | 18.0 | 38.8 | 5.0 |
| | 22.0 | 59.5 | 13.5 | 13.5 | 63.0 | |
| | 19.9 | 52.6 | 11.6 | 11.6 | 71.6 | |
| | 50.05 | 134.86 | 38.47 | 106.73 | 30.1 | 26.4 |
| | 46.69 | 125.66 | 35.72 | 99.27 | 30.7 | 26.6 |

2011 1-9
 2011 1
 1.4
 2011 1 2
 2011 2

3.3

| | 2011 | | 2010 | |
|--|---------------|--------|----------------|---------|
| | 1-9 | 1-9 | 12-31 | 12-31 |
| | 34,183 | 28,716 | 213,194 | 202,202 |
| | | | | |
| | 1,378 | 1,170 | 2,323 | 2,911 |
| | 35,561 | 29,886 | 215,517 | 205,113 |

3.4

(1) 333,518 H 336,155 A
2,637

(2)

| | | |
|------------------------|-----|----------------|
| | | |
| | | 14,511,037,955 |
| HKSCC NOMINEES LIMITED | | 3,390,087,787 |
| 005L FH002 | | 48,961,559 |
| | 50 | |
| | | 29,601,809 |
| | 88 | |
| | | 27,101,864 |
| | 50 | |
| | | 26,881,293 |
| | | 24,137,656 |
| | 300 | |
| | | 17,909,219 |
| | | 14,342,665 |
| 005L CT001 | | 14,289,517 |

HKSCC Nominees Limited

H

4

4.1

| | | | | | |
|------|--------|---------|--------|---------|-------|
| 2011 | 1-9 | | | 153,631 | 2010 |
| | | 113,240 | 35.7% | | |
| | 35,561 | 2010 | 29,886 | | 19.0% |

| | 2011 1-9 | 2010 1-9 | % | |
|--|---------------------|-------------|-------|---|
| | 153,631 | 113,240 | 35.7 | |
| | (91,950) | (60,719) | 51.4 | |
| | (517) | (56) | 823.2 | 1 |
| | (11,056) | (9,197) | 20.2 | 2 |

1

2011

886

1

2 2011 7 27

2011 2

2011 1 1 15%

| | 2011 9 30 | 2010 12 31 | % | |
|--|----------------------|---------------|-------|--|
| | 16,497 | 12,605 | 30.9 | |
| | 1,175 | 468 | 151.1 | |
| | 6,088 | 2,052 | 196.7 | |
| | 54,125 | 36,893 | 46.7 | |

| | 2011 1-9 | 2010 1-9 | % | |
|--|---------------------|-------------|---------|--|
| | 84,028 | 59,597 | 41.0 | |
| | (36,574) | (19,315) | 89.4 | |
| | (4,044) | (191) | 2,017.3 | |
| | 3,000 | 30 | 9,900 | |
| | 7,893 | 30,266 | (73.9) | |

4.2

(1) ()

| | 2011 1-9 | | | 2010 1-9 | | | | |
|---|--------------|--------------|--------------|----------|-------|-------|--------|--------|
| | | % | | | % | | % | % |
| | 282.0 | 98.5 | 431.4 | 217.7 | 96.3 | 404.5 | 29.5 | 6.7 |
| | 128.2 | 44.8 | 336.8 | 126.6 | 56.0 | 367.1 | 1.3 | (8.3) |
| | 8.0 | 2.8 | 146.4 | 4.4 | 2.0 | 166.6 | 81.8 | (12.1) |
| | 59.4 | 20.8 | 245.4 | 55.7 | 24.6 | 266.7 | 6.6 | (8.0) |
| | 60.8 | 21.2 | 451.0 | 66.5 | 29.4 | 464.5 | (8.6) | (2.9) |
| | 153.8 | 53.7 | 510.2 | 91.1 | 40.3 | 456.7 | 68.8 | 11.7 |
| | 29.8 | 10.4 | 170.2 | 17.2 | 7.6 | 151.8 | 73.3 | 12.1 |
| | 30.8 | 10.8 | 509.5 | 24.2 | 10.7 | 405.8 | 27.3 | 25.6 |
| | 93.2 | 32.5 | 619.0 | 49.7 | 22.0 | 587.0 | 87.5 | 5.5 |
| | 4.4 | 1.5 | 702.5 | 8.4 | 3.7 | 552.1 | (47.6) | 27.2 |
| / | 286.4 | 100.0 | 435.6 | 226.1 | 100.0 | 410.0 | 26.7 | 6.2 |

/ 2011 570 / 5,500 2011

(2)

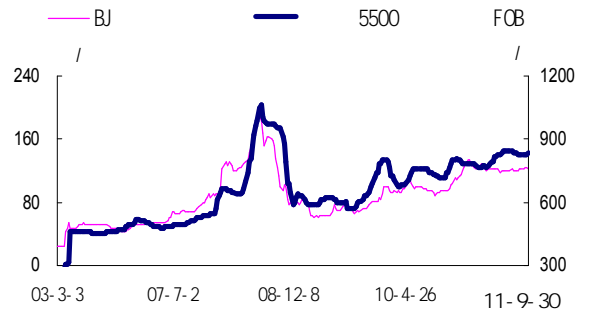
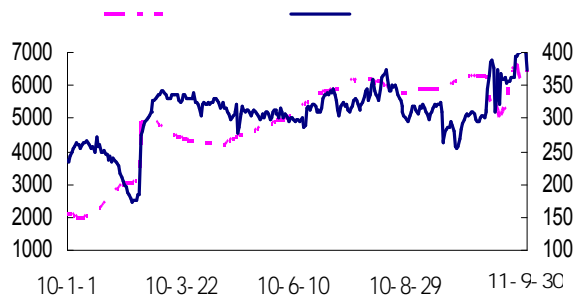
| | 2011 | 1-9 | 2010 | 1-9 | | |
|--|--------------|-----|-------|-------|-------|--------|
| | | / | / | / | % | % |
| | 110.6 | | 102.2 | 105.1 | 8.2 | 5.2 |
| | 20.7 | | 20.7 | 21.6 | - | (4.2) |
| | 14.3 | | 13.5 | 13.4 | 5.9 | 6.7 |
| | 7.8 | | 8.2 | 8.7 | (4.9) | (10.3) |
| | 20.5 | | 20.9 | 21.4 | (1.9) | (4.2) |
| | 47.3 | | 38.9 | 40.0 | 21.6 | 18.3 |

2011 1-9 110.6 / 2010
102.2 / 8.2% 1
5.9% 2
21.6%

2011 1-9 1
56% 2
4% 3 40%

4.3

2011



4.4

4.5

| | |
|--|--|
| | |
| | |

2010

4.6

4.7

4.8

2011 1-9

| | 2011 1-9 | 2010 1-9 |
|---|-------------|-------------|
| | | |
| | 103,172 | 75,543 |
| | 43,258 | 33,709 |
| | 7,201 | 3,988 |
| | 153,631 | 113,240 |
| | (91,950) | (60,719) |
| | (7,764) | (7,599) |
| | (517) | (56) |
| | (100,231) | (68,374) |
| | 53,400 | 44,866 |
| | 746 | 1,046 |
| | (2,353) | (2,757) |
| | (1,607) | (1,711) |
| | - | 9 |
| | 196 | 381 |
| | 51,989 | 43,545 |
| | (11,056) | (9,197) |
| | 40,933 | 34,348 |
| | | |
| | (138) | (152) |
| | 40,795 | 34,196 |
| | | |
| | 35,561 | 29,886 |
| | 5,372 | 4,462 |
| | 40,933 | 34,348 |
| | | |
| | 35,430 | 29,735 |
| | 5,365 | 4,461 |
| | 40,795 | 34,196 |
| | | |
| - | 1.788 | 1.503 |
| - | 1.788 | 1.503 |

2011 9 30

| | 2011 9 30 | 2010 12 31 |
|--|-----------|------------|
| | | |
| | 196,294 | 188,061 |
| | 38,022 | 33,088 |
| | 3,614 | 3,248 |
| | 2,851 | 2,818 |
| | 1,036 | 971 |
| | 16,497 | 12,605 |
| | 11,276 | 11,411 |
| | 1,175 | 468 |
| | 270,765 | 252,670 |
| | | |
| | 12,348 | 11,574 |
| | 13,352 | 11,424 |
| | 15,382 | 14,250 |
| | 6,088 | 2,052 |
| | 5,917 | 2,949 |
| | 74,897 | 77,212 |
| | 127,984 | 119,461 |
| | | |
| | 12,396 | 15,317 |
| | 20,169 | 19,661 |
| | 54,125 | 36,893 |
| | 239 | 497 |
| | 5,925 | 4,558 |
| | 92,854 | 76,926 |
| | 35,130 | 42,535 |
| | 305,895 | 295,205 |
| | | |
| | 46,432 | 52,311 |
| | 2,174 | 2,133 |
| | 1,653 | 1,702 |
| | 2,019 | 1,632 |
| | 52,278 | 57,778 |
| | 253,617 | 237,427 |
| | | |
| | 19,890 | 19,890 |
| | 195,627 | 185,223 |
| | 215,517 | 205,113 |
| | 38,100 | 32,314 |
| | 253,617 | 237,427 |

2011 1-9

| | 2011 1-9 | 2010 1-9 |
|---|----------|----------|
| | | |
| | 84,028 | 59,597 |
| | 681 | 385 |
| | (2,273) | (2,715) |
| | (11,234) | (8,425) |
| | 71,202 | 48,842 |
| | | |
| | (36,574) | (19,315) |
| | (247) | (1,293) |
| | - | 473 |
| | (8,702) | - |
| | - | (16) |
| | - | (265) |
| | 24 | 93 |
| | 98 | 66 |
| | (4,044) | (191) |
| | 8 | 538 |
| | (5,745) | (11,020) |
| | 2,777 | 10,767 |
| | 3,000 | 30 |
| | (49,405) | (20,133) |
| | | |
| | 7,893 | 30,266 |
| | (16,757) | (30,525) |
| | 1,550 | 275 |
| | (2,098) | (1,527) |
| | (15,054) | (10,635) |
| | 455 | 301 |
| | (105) | - |
| | (24,116) | (11,845) |
| / | (2,319) | 16,864 |
| | 77,212 | 72,321 |
| | 4 | (13) |
| | 74,897 | 89,172 |

2011 1-9

| | 2011 7-9 | 2010 7-9 | 2011 1-9 | 2010 1-9 |
|--|-------------|-------------|-------------|-------------|
| | 52,939 | 41,572 | 153,631 | 113,240 |

2011 1-9

| | 2011 7-9 | 2010 7-9 | 2011 1-9 | 2010 1-9 |
|--|-------------|-------------|-------------|-------------|
| | 27,451 | 38,228 | 114,805 | 106,765 |
| | 22,849 | 33,201 | 96,635 | 91,641 |
| | 488 | 583 | 1,726 | 1,667 |
| | 73 | 156 | 303 | 435 |
| | 1,277 | 1,035 | 3,611 | 3,038 |
| | (45) | (230) | (294) | (331) |
| | 66 | 128 | 39 | 210 |
| | 3,127 | 333 | 6,510 | 3,319 |
| | 79 | 7 | 123 | 167 |
| | 6,002 | 3,944 | 19,373 | 13,844 |
| | 12 | 16 | 32 | 67 |
| | 15 | 12 | 646 | 108 |
| | 5 | - | 22 | 2 |
| | 5,999 | 3,948 | 18,759 | 13,803 |
| | 251 | 910 | 2,981 | 2,294 |
| | 5,748 | 3,038 | 15,778 | 11,509 |
| | - | - | - | - |
| | 5,748 | 3,038 | 15,778 | 11,509 |

2011 9 30

| | 2011 9 30 | 2010 12 31 |
|--|--------------|---------------|
| | | |
| | 86,902 | 82,213 |
| | 972 | 951 |
| | 1,374 | 2,183 |
| | 11,978 | 9,241 |
| | 2,660 | 1,815 |
| | 5,767 | 4,387 |
| | 12,348 | 11,574 |
| | 5,983 | 7,097 |
| | 127,984 | 119,461 |
| | | |
| | 3,823 | 3,738 |
| | 176,247 | 167,498 |
| | 37,301 | 31,190 |
| | 721 | 1,898 |
| | 29,044 | 29,720 |
| | 2,276 | 1,744 |
| | 1,682 | 866 |
| | 15,465 | 11,574 |
| | 266,559 | 248,228 |
| | 394,543 | 367,689 |

2011 9 30

| | 2011 9 30 | 2010 12 31 |
|--|--------------|---------------|
| | | |
| | 4,498 | 8,767 |
| | 20,169 | 19,661 |
| | 6,407 | 3,953 |
| | 4,639 | 3,481 |
| | 13,195 | 11,414 |
| | 260 | 175 |
| | 1,732 | 1,691 |
| | 33,817 | 20,737 |
| | 8,137 | 7,047 |
| | 92,854 | 76,926 |
| | | |
| | 46,432 | 52,311 |
| | 2,174 | 2,133 |
| | 1,653 | 1,702 |
| | 315 | 315 |
| | 50,574 | 56,461 |
| | 143,428 | 133,387 |
| | | |
| | 19,890 | 19,890 |
| | 82,488 | 92,422 |
| | 5,657 | 3,488 |
| | 11,433 | 11,433 |
| | 93,177 | 74,289 |
| | 549 | 680 |
| | 213,194 | 202,202 |
| | 37,921 | 32,100 |
| | 251,115 | 234,302 |
| | 394,543 | 367,689 |

2011 9 30

| | 2011 9 30 | 2010 12 31 |
|--|--------------|---------------|
| | | |
| | 59,681 | 69,968 |
| | 519 | 480 |
| | 256 | 1,700 |
| | 28,403 | 10,564 |
| | 1,639 | 863 |
| | 7,072 | 2,039 |
| | 5,341 | 5,718 |
| | 4,276 | 8,219 |
| | 27,768 | 20,762 |
| | 134,955 | 120,313 |
| | | |
| | 57,375 | 45,312 |
| | 40,935 | 42,845 |
| | 9,400 | 4,322 |
| | 142 | 125 |
| | 14,691 | 14,779 |
| | 1,500 | 1,249 |
| | 495 | 157 |
| | 11,384 | 9,528 |
| | 135,922 | 118,317 |
| | 270,877 | 238,630 |

2011 9 30

| | 2011 9 30 | 2010 12 31 |
|--|--------------|---------------|
| | | |
| | 7,548 | 12,989 |
| | 385 | 2,501 |
| | 2,639 | 2,035 |
| | 6,087 | 6,237 |
| | 122 | 66 |
| | 3,562 | 5,679 |
| | 2,373 | 1,466 |
| | 73,106 | 29,514 |
| | 95,822 | 60,487 |
| | | |
| | 8,067 | 9,152 |
| | 1,658 | 1,582 |
| | 992 | 1,060 |
| | 10,717 | 11,794 |
| | 106,539 | 72,281 |
| | | |
| | 19,890 | 19,890 |
| | 83,050 | 87,621 |
| | 4,401 | 2,704 |
| | 11,433 | 11,433 |
| | 45,564 | 44,701 |
| | 164,338 | 166,349 |
| | 270,877 | 238,630 |

2011 1-9

| | 2011 1-9 | 2010 1-9 |
|--|-------------|-------------|
| | | |
| | 175,873 | 130,311 |
| | 1 | 127 |
| | 14,791 | 5,944 |
| | 190,665 | 136,382 |
| | (76,777) | (48,293) |
| | (8,517) | (6,585) |
| | (30,417) | (26,025) |
| | (2,212) | (3,916) |
| | (117,923) | (84,819) |
| | 72,742 | 51,563 |
| | | |
| | 3,000 | 1,161 |
| | 98 | 66 |

2011 1-9

| | 2011 1-9 | 2010 1-9 |
|---|-------------|-------------|
| | | |
| | 115,885 | 110,042 |
| | 3,470 | 2,440 |
| | 119,355 | 112,482 |
| | (91,538) | (90,486) |
| | (3,821) | (2,928) |
| | (10,008) | (13,036) |
| | (855) | (778) |
| | (106,222) | (107,228) |
| | 13,133 | 5,254 |
| | | |
| | 12,389 | 9,016 |
| | 4,401 | 3,225 |
| | 20 | 58 |
| | 2,250 | 10,746 |
| | - | 169 |
| | 605 | 768 |
| | 19,665 | 23,982 |
| | (10,255) | (7,038) |
| | (25,312) | (11,983) |
| | (8,270) | - |
| | (200) | (8,500) |
| | (323) | (96) |
| | (44,360) | (27,617) |
| | (24,695) | (3,635) |
| | | |
| | 81,907 | 97,269 |
| | 81,907 | 97,269 |
| | (64,276) | (75,024) |
| | (15,229) | (10,851) |
| | (79,505) | (85,875) |
| | 2,402 | 11,394 |
| / | (9,160) | 13,013 |
| | 68,368 | 62,364 |
| | 59,208 | 75,377 |